

**2015 No. 1686 (W. 218)**

**ANIMALS, WALES**

**The Zootechnical Standards  
(Wales) Regulations 2015**

**EXPLANATORY NOTE**

*(This note is not part of the Regulations)*

These Regulations provide for the recognition of organisations which record the pedigree of cattle, sheep, goats and pigs and set out the requirements which govern these organisations in relation to the form and content of pedigree records, the form of zootechnical certificates, and methods of recording breeding performance and assessing genetic value for the acceptance of animals for breeding purposes. They apply in relation to Wales and they revoke and replace the Zootechnical Standards Regulations 1992 (S.I. 1992/2370).

They transpose the following EU instruments in relation to cattle:

- Council Directive 2009/157/EC on pure-bred breeding animals of the bovine species (OJ No L 323, 10.12.2009, p1);
- Commission Decision 2006/427/EC laying down performance monitoring methods and methods for assessing cattle's genetic value for pure-bred breeding animals of the bovine species (OJ No L 169, 22.6.2006, p56);
- Commission Decision 2005/379/EC on pedigree certificates and particulars for pure-bred breeding animals of the bovine species, their semen, ova and embryos (OJ No L 125, 18.5.2005, p15);
- Council Directive 87/328/EEC on the acceptance for breeding purposes of pure-bred breeding animals of the bovine species (OJ No L 167, 26.6.1987, p54);
- Commission Decision 84/247/EEC laying down the criteria for the recognition of breeders' organisations and associations which maintain or establish herd-books for

pure-bred breeding animals of the bovine species (OJ No L 125, 12.5.1984, p58);

- Commission Decision 84/419/EEC laying down the criteria for entering cattle in herd-books (OJ No L 237, 5.9.1984, p11).

They transpose the following EU instruments in relation to pigs:

- Council Directive 88/661/EEC on the zootechnical standards applicable to breeding animals of the porcine species (OJ No L 382, 31.12.1988, p36);
- Commission Decision 89/501/EEC laying down the criteria for approval and supervision of breeders' associations and breeding organisations which establish or maintain herd-books for pure-bred breeding pigs (OJ No L 247, 23.8.1989, p19);
- Commission Decision 89/504/EEC laying down the criteria for approval and supervision of breeders' associations, breeding organisations and private undertakings which establish or maintain registers for hybrid breeding pigs (OJ No L 247, 23.08.1989, p31);
- Commission Decision 89/502/EEC laying down the criteria governing entry in herd-books for pure-bred breeding pigs (OJ No L 247, 23.8.1989, p21);
- Commission Decision 89/505/EEC laying down the criteria governing entry in registers for hybrid breeding pigs (OJ No L 247, 23.8.1989, p33);
- Commission Decision 89/503/EEC laying down the certificate of pure-bred breeding pigs, their semen, ova and embryos (OJ No L 247, 23.8.1989, p22);
- Commission Decision 89/506/EEC laying down the certificate of hybrid breeding pigs, their semen, ova and embryos (OJ No L 247, 23.8.1989, p34);
- Commission Decision 89/507/EEC laying down methods for monitoring performance and assessing the genetic value of pure-bred and hybrid breeding pigs (OJ No L 247, 23.8.1989, p43);
- Council Directive 90/118/EEC on the acceptance of pure-bred breeding pigs for breeding (OJ No L 71, 17.3.1990, p34);
- Council Directive 90/119/EEC of hybrid breeding pigs for breeding (OJ No L 71, 17.3.1990, p36).

They transpose the following EU instruments in relation to sheep and goats:

- Council Directive 89/361/EEC concerning pure-bred breeding sheep and goats (OJ No L 153, 6.6.1989, p30);
- Commission Decision 90/254/EEC laying down the criteria for approval of breeders' organisations and associations which establish or maintain flock-books for pure-bred breeding sheep and goats (OJ No L 145, 8.6.1990, p30);
- Commission Decision 90/255/EEC laying down the criteria governing entry in flock-books for pure-bred breeding sheep and goats (OJ No L 145, 8.6.1990, p32);
- Commission Decision 90/258/EEC laying down the zootechnical certificates for pure-bred breeding sheep and goats, their semen, ova and embryos (OJ No L 145, 8.6.1990, p39);
- Commission Decision 90/256/EEC laying down methods for monitoring performance and assessing the genetic value of pure-bred breeding sheep and goats (OJ No L 145, 8.6.1990, p35);
- Commission Decision 90/257/EEC laying down the criteria for the acceptance for breeding purposes of pure-bred breeding sheep and goats and the use of their semen, ova or embryos (OJ No L 145, 8.6.1990, p38).

They also transpose Article 4 of Council Directive 94/28/EC laying down the principles relating to the zootechnical and genealogical conditions applicable to imports from third countries of animals, their semen, ova and embryos, and amending Directive 77/504/EEC on pure-bred breeding animals of the bovine species (OJ No L 178, 12.7.1994, p66).

The Welsh Ministers' Code of Practice on the carrying out of Regulatory Impact Assessments was considered in relation to these Regulations. As a result, a regulatory impact assessment has been prepared as to the likely costs and benefits of complying with these Regulations. A copy can be obtained from the Welsh Government, Cathays Park, Cardiff CF10 3NQ.

**2015 No. 1686 (W. 218)**

**ANIMALS, WALES**

**The Zootechnical Standards  
(Wales) Regulations 2015**

*Made* 14 September 2015

*Laid before the National Assembly for Wales*  
17 September 2015

*Coming into force* 9 October 2015

The Welsh Ministers are designated<sup>(1)</sup> for the purposes of section 2(2) of the European Communities Act 1972<sup>(2)</sup> in relation to the common agricultural policy of the European Union.

The Welsh Ministers make these Regulations in exercise of the powers conferred by that section and paragraph 1A of Schedule 2 to the European Communities Act 1972<sup>(3)</sup>.

These Regulations make provision for a purpose mentioned in section 2(2) of the European Communities Act 1972 and it appears to the Welsh Ministers that it is expedient for the references in these Regulations to provisions of EU instruments to be construed as references to those provisions as amended from time to time.

**Title, application and commencement**

**1.—**(1) The title of these Regulations is the Zootechnical Standards (Wales) Regulations 2015.

(2) They apply in relation to Wales.

(3) They come into force on 9 October 2015.

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(1) S.I. 2010/2690.  
(2) 1972 c.68. Section 2(2) was amended by section 27(1)(a) of the Legislative and Regulatory Reform Act 2006 (c. 51) and Part 1 of the Schedule to the European Union (Amendment) Act 2008 (c. 7).  
(3) Paragraph 1A of Schedule 2 was inserted by section 28 of the Legislative and Regulatory Reform Act 2006.

## Interpretation

2.—(1) In these Regulations—

“cattle” (*“gwartheg”*) means all animals of bovine species;

“flock-book” (*“llyfr diadell”*) means any book, register, file or data medium—

(a) which is maintained by a recognised organisation, and

(b) in which pure-bred breeding sheep or goats of a given breed are entered or registered with mention of their ancestors;

“herd-book” (*“llyfr buches”* in relation to cattle, or *“llyfr cenfaint”* in relation to pigs) means any book, register, file or data medium—

(a) which is maintained by a recognised organisation, and

(b) in which pigs or pure-bred cattle of a given breed are entered or registered with mention of their ancestors;

“hybrid pig” (*“mochyn hybrid”*) means a pig which is produced by deliberate cross breeding—

(a) between pure-bred pigs of different breeds or lines,

(b) between animals which are themselves outcomes of a cross between different breeds or lines, or

(c) between pure-bred pigs and pigs belonging to one or other of the above categories;

“pure-bred” (*“o frid pur”*), in respect of an animal of a breed, means that its parents and grandparents appear in a herd-book or flock-book of the breed, and it appears itself or is eligible to appear in a herd-book or flock-book of the breed;

“recognised organisation” (*“sefydliad cydnabyddedig”*) means an organisation recognised by the Welsh Ministers under regulation 4.(1).

(2) In these Regulations any reference to an EU instrument is a reference to that instrument as amended from time to time.

## Notices

3. Notices under these Regulations must be in writing and may be withdrawn by further notice.

## Recognition of organisations

4.—(1) The Welsh Ministers must, subject to paragraph (2), by notice recognise an organisation as a recognised organisation in relation to a breed of animals if—

- (a) it submits an application to the Welsh Ministers to be so recognised; and
  - (b) in the Welsh Ministers' opinion, it satisfies the criteria mentioned in—
    - (i) the Annex to Commission Decision 84/247/EEC(1) laying down the criteria for the recognition of breeders' organisations and associations which maintain or establish herd-books for pure-bred breeding animals of the bovine species, in relation to pure-bred cattle;
    - (ii) the Annex to Commission Decision 90/254/EEC(2) laying down the criteria for approval of breeders' organisations and associations which establish or maintain flock-books for pure-bred breeding sheep and goats, in relation to pure-bred sheep and goats;
    - (iii) the Annex to Commission Decision 89/501/EEC(3) laying down the criteria for approval and supervision of breeders' associations and breeding organisations which establish or maintain herd-books for pure-bred breeding pigs, in relation to pure-bred pigs; or
    - (iv) the Annex to Commission Decision 89/504/EEC(4) laying down the criteria for approval and supervision of breeders' associations, breeding organisations and private undertakings which establish or maintain registers for hybrid breeding pigs, in relation to hybrid pigs.
- (2) The Welsh Ministers may refuse to grant recognition under paragraph (1) if—
- (a) there exists a recognised organisation in respect of the same breed or an organisation in respect of the same breed recognised pursuant to the legislation listed in paragraph (1)(b) elsewhere in the United Kingdom; and
  - (b) the Welsh Ministers consider that granting the approval would—
    - (i) endanger the preservation of that breed; or
    - (ii) jeopardise the zootechnical programme of the recognised organisation in question.
- (3) The Welsh Ministers must inform an applicant of any refusal of recognition by notice and give reasons.

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(1) OJ No L 125, 12.5.1984, p58, amended by Commission Decision 2007/371/EC, OJ No L 140, 1.6.2007, p49.

(2) OJ No L 145, 8.6.1990, p30.

(3) OJ No L 247, 23.8.1989, p19.

(4) OJ No L 247, 23.8.1989, p31.

## **Withdrawal of recognition**

**5.—**(1) The Welsh Ministers—

- (a) may by notice withdraw recognition from an organisation which fails to satisfy the criteria mentioned in regulation 4(1)(b); and
- (b) must by notice do so from an organisation which persistently fails to satisfy those criteria.

(2) The Welsh Ministers may by notice withdraw recognition from an organisation which fails to comply with its obligations under these Regulations.

## **List of recognised organisations**

**6.** The Welsh Ministers must—

- (a) establish and maintain a list of recognised organisations; and
- (b) publish the list in accordance with Commission Decision 2009/712/EC implementing Council Directive 2008/73/EC as regards Internet-based information pages containing lists of establishments and laboratories approved by Member States in accordance with Community veterinary and zootechnical legislation<sup>(1)</sup>.

## **Establishment of a herd-book, flock-book or register**

**7.** A recognised organisation must establish and maintain a herd-book, flock-book or register (as the case may be).

## **Entry of animals into a herd-book, flock-book or register**

**8.—**(1) A recognised organisation must enter—

- (a) pure-bred cattle into a herd-book in accordance with Commission Decision 84/419/EEC<sup>(2)</sup> laying down the criteria for entering cattle in herd-books;
- (b) pure-bred sheep and goats into a flock-book in accordance with Commission Decision 90/255/EEC<sup>(3)</sup> laying down the criteria governing entry in flock-books for pure-bred breeding sheep and goats;
- (c) pure-bred pigs into a herd-book in accordance with Commission Decision 89/502/EEC<sup>(4)</sup>

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(1) OJ No L 247, 19.9.2009, p13.

(2) OJ No L 237, 5.9.1984, p11, amended by Commission Decision 2007/371/EC, OJ No L 140, 1.6.2007, p49.

(3) OJ No L 145, 8.6.1990, p32, amended by Commission Decision 2005/375/EC, OJ No L 121, 13.5.2005, p87.

(4) OJ No L 247, 23.8.1989, p21.

laying down the criteria governing entry in herd-books for pure-bred breeding pigs; or

- (d) hybrid pigs into a register in accordance with Commission Decision 89/505/EEC(1) laying down the criteria governing entry in registers for hybrid breeding pigs.

(2) A recognised organisation may only enter an animal imported from a third country, or which originates from semen, an ovum, or an embryo imported from a third country, into a herd-book, flock-book or register if that animal, or the semen, ovum or embryo from which it originates, has been imported in accordance with Article 4, 5, 6 or 7, as applicable, of Council Directive 94/28/EC laying down the principles relating to the zootechnical and genealogical conditions applicable to imports from third countries of animals, their semen, ova and embryos, and amending Directive 77/504/EEC on pure-bred breeding animals of the bovine species(2).

#### **Requirement to enter animals into a herd-book, flock-book or register**

9.—(1) A recognised organisation may not refuse to enter an animal into its herd-book, flock-book or register if it satisfies the criteria for entry.

(2) An animal which appears in a herd-book, flock-book or register of the same breed maintained by an organisation recognised anywhere in the European Union pursuant to the legislation listed in regulation 4(1)(b) must be taken to satisfy the criteria.

#### **Zootechnical certificates for intra-Community trade**

10.—(1) A zootechnical certificate for intra-Community trade in—

- (a) animals;
- (b) semen;
- (c) ova;
- (d) embryos,

may only be issued by an organisation mentioned in paragraph (2).

(2) The organisations are—

- (a) where the certificate is for an animal, a recognised organisation in relation to that breed of animal;
- (b) where the certificate is for bovine semen, a collection or storage centre approved under

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(1) OJ No L 247, 23.8.1989, p33.

(2) OJ No L 178, 12.7.1994, p66, last amended by Council Directive 2008/73/EC, OJ No L 219, 14.8.2008, p40.



the Bovine Semen (Wales) Regulations 2008<sup>(1)</sup>  
;

- (c) where the certificate is for bovine embryos or ova, an embryo collection team approved under the Bovine Embryo (Collection, Production and Transfer) Regulations 1995<sup>(2)</sup>  
;
- (d) where the certificate is for the semen, ova or embryos of any other animal, a recognised organisation in relation to the relevant breed.

(3) A zootechnical certificate must comply with—

- (a) Commission Decision 2005/379/EC<sup>(3)</sup> on pedigree certificates and particulars for pure-bred breeding animals of the bovine species, their semen, ova and embryos, in relation to pure-bred cattle;
- (b) Commission Decision 90/258/EEC<sup>(4)</sup> laying down the zootechnical certificates for pure-bred breeding sheep and goats, their semen, ova and embryos, in relation to pure-bred sheep and goats;
- (c) Commission Decision 89/503/EEC<sup>(5)</sup> laying down the certificate of pure-bred breeding pigs, their semen, ova and embryos, in relation to pure-bred pigs; or
- (d) Commission Decision 89/506/EEC<sup>(6)</sup> laying down the certificate of hybrid breeding pigs, their semen, ova and embryos, in relation to hybrid pigs.

### **Monitoring performance and assessing genetic value**

**11.** A recognised organisation must accept for testing any animal entered in its herd-book, flock-book or register, and must carry out the monitoring or testing in accordance with the criteria specified in—

- (a) Annex 1 to Commission Decision 2006/427/EC laying down performance monitoring methods and methods for assessing cattle's genetic value for pure-bred breeding animals of the bovine species, in relation to pure-bred cattle<sup>(7)</sup>;
- (b) the Annex to Commission Decision 90/256/EEC laying down methods for monitoring performance and assessing the genetic value of pure-bred breeding sheep and

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(1) S.I. 2008/1040 (W.110).  
(2) S.I. 1995/2478.  
(3) OJ No L 125, 18.5.2005, p15.  
(4) OJ No L 145, 8.6.1990, p39.  
(5) OJ No L 247, 23.8.1989, p22.  
(6) OJ No L 247, 23.8.1989, p34.  
(7) OJ No L 169, 22.6.2006, p56.

goats, in relation to pure-bred sheep and goats<sup>(1)</sup>; or

- (c) the Annex to Commission Decision 89/507/EEC laying down methods for monitoring performance and assessing the genetic value of pure-bred and hybrid breeding pigs, in relation to pigs<sup>(2)</sup>.

### **Acceptance for breeding purposes**

**12.**—(1) A recognised organisation must accept for natural breeding purposes all pure-bred animals of the breed whose herd-book or flock-book it maintains.

(2) A recognised organisation which maintains the herd-book or flock-book of a cattle, sheep or goat breed or a breed of pure-bred pigs must accept for breeding purposes the ova of all pure-bred animals and all embryos whose parents are both entered in the breed's herd-book or flock-book.

(3) A recognised organisation which maintains the herd-book of a cattle breed or a breed of pure-bred pigs must accept for breeding by artificial insemination the semen of any male animal entered in its herd-book which has been approved according to the tests referred to in regulation 11.

(4) A recognised organisation which maintains the herd-book of a cattle breed or a breed of pure-bred pigs may only accept for the purpose of artificial insemination the semen of animals which have been approved according to the tests referred to in regulation 11.

(5) A recognised organisation which maintains a register for hybrid pigs must accept for breeding purposes—

- (a) all hybrid pigs;
- (b) the semen of all hybrid pigs whose line has been tested for performance and genetic value; and
- (c) the ova and embryos of all hybrid pigs,

whose parentage is established according to the rules of that organisation.

(6) A recognised organisation which maintains the flock-book of a breed of pure-bred sheep or goats must accept semen for the purposes of artificial insemination from such animals in accordance with Article 2(1) of Commission Decision 90/257/EEC<sup>(3)</sup>.

### **Revocations**

**13.** The following Regulations are revoked—

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(1) OJ No L 145, 8.6.1990, p35.  
(2) OJ No L 247, 23.8.1989, p43.  
(3) OJ No L 145, 8.6.1990, p38.

- (a) the Zootechnical Standards Regulations 1992<sup>(1)</sup>  
in relation to Wales; and
- (b) the Zootechnical Standards (Amendment)  
(Wales) Regulations 2008<sup>(2)</sup>.

*Carl Sargeant*  
Minister for Natural Resources, one of the Welsh  
Ministers

14 September 2015

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(1) S.I. 1992/2370.  
(2) S.I. 2008/1064 (W.113).